

RCE 12815 \$

PTO/SB/30 (08-03)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

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|                        |                       |
|------------------------|-----------------------|
| Application Number     | 09/819,952            |
| Filing Date            | March 29, 2001        |
| First Named Inventor   | Toshiya Uemura        |
| Art Unit               | 2815                  |
| Examiner Name          | Bradley W. Baumeister |
| Attorney Docket Number | F00-242-US            |

**This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.**  
Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

- Submission required under 37 CFR 1.114**  
Note: If the RCE is proper, any previously filed unentered and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such  
  - ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.
    - ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
    - ☐ Other \_\_\_\_\_
  - ☒ Enclosed
    - ☒ Amendment/Reply
    - ☐ Affidavit(s)/Declaration(s)
    - ☐ Information Disclosure Statement (IDS)
    - ☐ Other \_\_\_\_\_
- Miscellaneous**
  - ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)
  - ☐ Other \_\_\_\_\_
- Fees** The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.
  - ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 50-0481 (Deficiencies Only)
    - ☐ RCE fee required under 37 CFR 1.17(e)
    - ☐ Extension of time fee (37 CFR 1.136 and 1.17)
    - ☐ Other \_\_\_\_\_
  - ☒ Check in the amount of \$ 880.00 enclosed
  - ☐ Payment by credit card (Form PTO-2038 enclosed)

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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

|                     |                         |                                     |                   |
|---------------------|-------------------------|-------------------------------------|-------------------|
| Name (Print / Type) | Phillip E. Miller, Esq. | Registration No. (Attorney / Agent) | 46,060            |
| Signature           |                         | Date                                | November 21, 2003 |

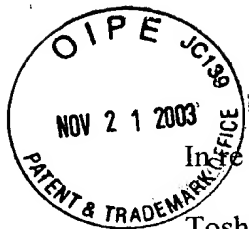
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This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing the burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Toshiya Uemura, et al.

Serial No.: 09/819,952

Group Art Unit: 2815

Filed: March 29, 2001

Examiner: Baumeister, Bradley W.

For: LIGHT-EMITTING DEVICE USING GROUP III NITRIDE GROUP COMPOUND  
SEMICONDUCTOR AND METHOD FOR MANUFACTURING IT

Honorable Commissioner of Patents  
Alexandria, VA 22313-1450

**PRELIMINARY AMENDMENT**

Sir:

In response to the Final Office Action dated August 20, 2003, and concurrently with the filing of a Request for Continued Prosecution (RCE) please amend the above-identified application as follows:

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